



United States  
DEPARTMENT  
of DEFENSE

# **DOD Best Practices Clearinghouse**

**David Castellano**  
**OUSD(AT&L)/DS/SE/AS**

***Systems Engineering Forum***  
***October 15, 2004***



# How Do We Encourage Broader Use of Best Practices?

- Section 804 directs DoD to establish a BP clearinghouse
- BPs recommended and used in the past
  - SEI, DSB, GAO, Congress
- Awareness of BPs is broad – Implementation poor
- Research identified a number of barriers
  - Too many lists
  - No basis for selection
  - No proof of effectiveness
  - No connection to risks
  - No clear success factors
  - No accurate costs/benefits



ate  
ntation  
e



# Who Uses the Clearinghouse?

## Goals

- Mitigate overall programmatic risks
- Implement success factors
- Avoid mistakes on other programs

- Define and execute project processes
- Mitigate specific technical risks
- Address organization-wide issues
- Implement Ideas for process improvement initiatives
- Implement practices using specific guidance

## Use

- Organizational view (IPPD, acquisition strategies)
- Case-studies with executive-level impact summaries
- General information access

- Team view (process improvement, practices for specific risks or disciplines)

- Stories and information on cost, benefits, scope

- Comprehensive view, practice selection support, some source material

- Implementation view (specific practices)

- Implementation guidance

- Source material

**Executive**

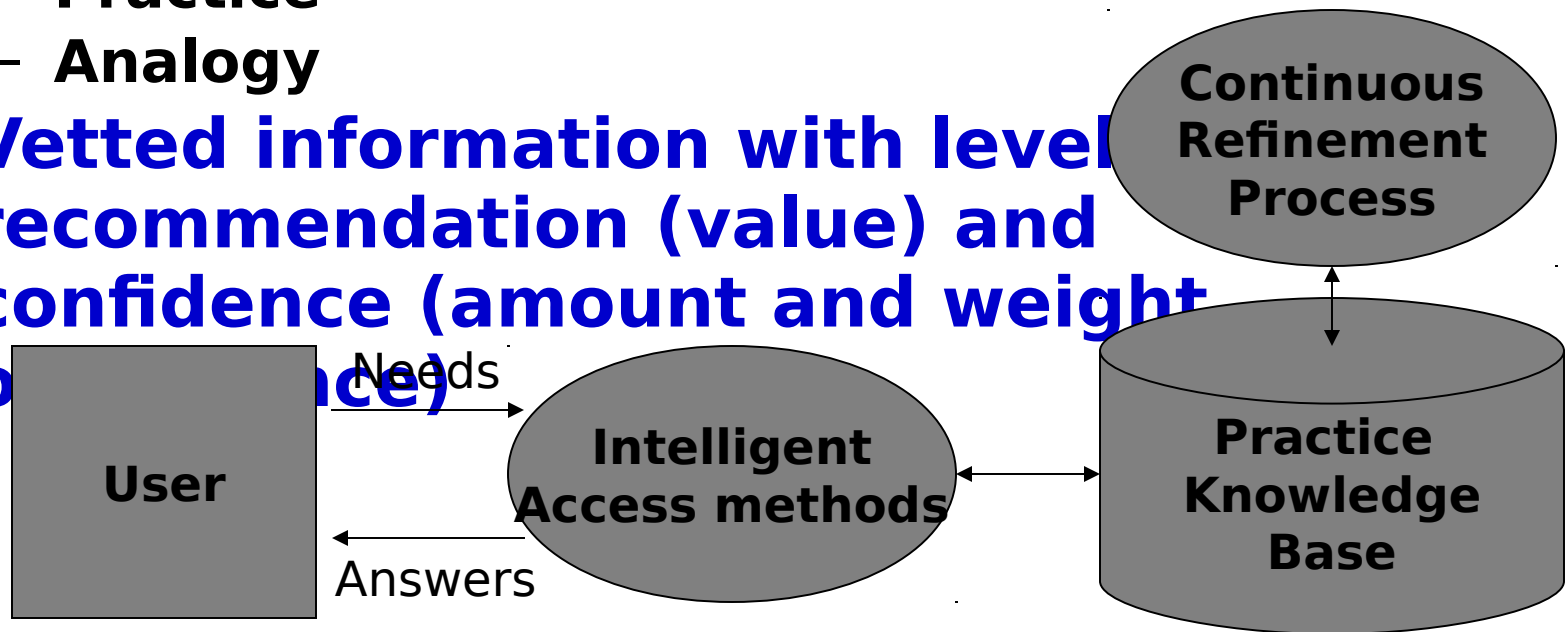
**Technical Management**

**Practitioners**



# The Concept - A Single Resource

- **Useful information tailored to the user context**
- **Support for practice selection based on multiple access vectors**
  - Risk
  - Practice
  - Analogy
- **Vetted information with level recommendation (value) and confidence (amount and weight of information)**





# Clearinghouse Structure

## Population (Vetting)

Identification  
Characterization  
Analysis and synthesis

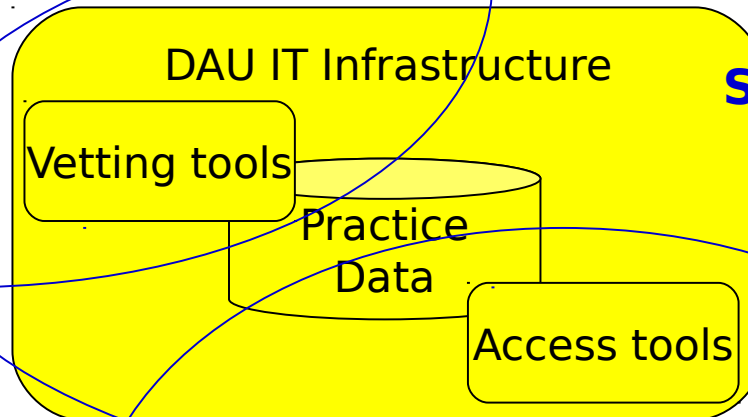
Validation  
Packaging

NDIA FC-MD  
DAU INCOSE  
Programs DS/AS  
SMEs

## System Sustainment

Development and upgrade  
License mgt.  
Portfolio mgt.

DAU NGIT  
FC-MD



Public  
Industry  
Government  
Academics  
DoD Components

Interface to other resources  
Usefulness feedback  
Information request  
Access to data

## BPCH Use

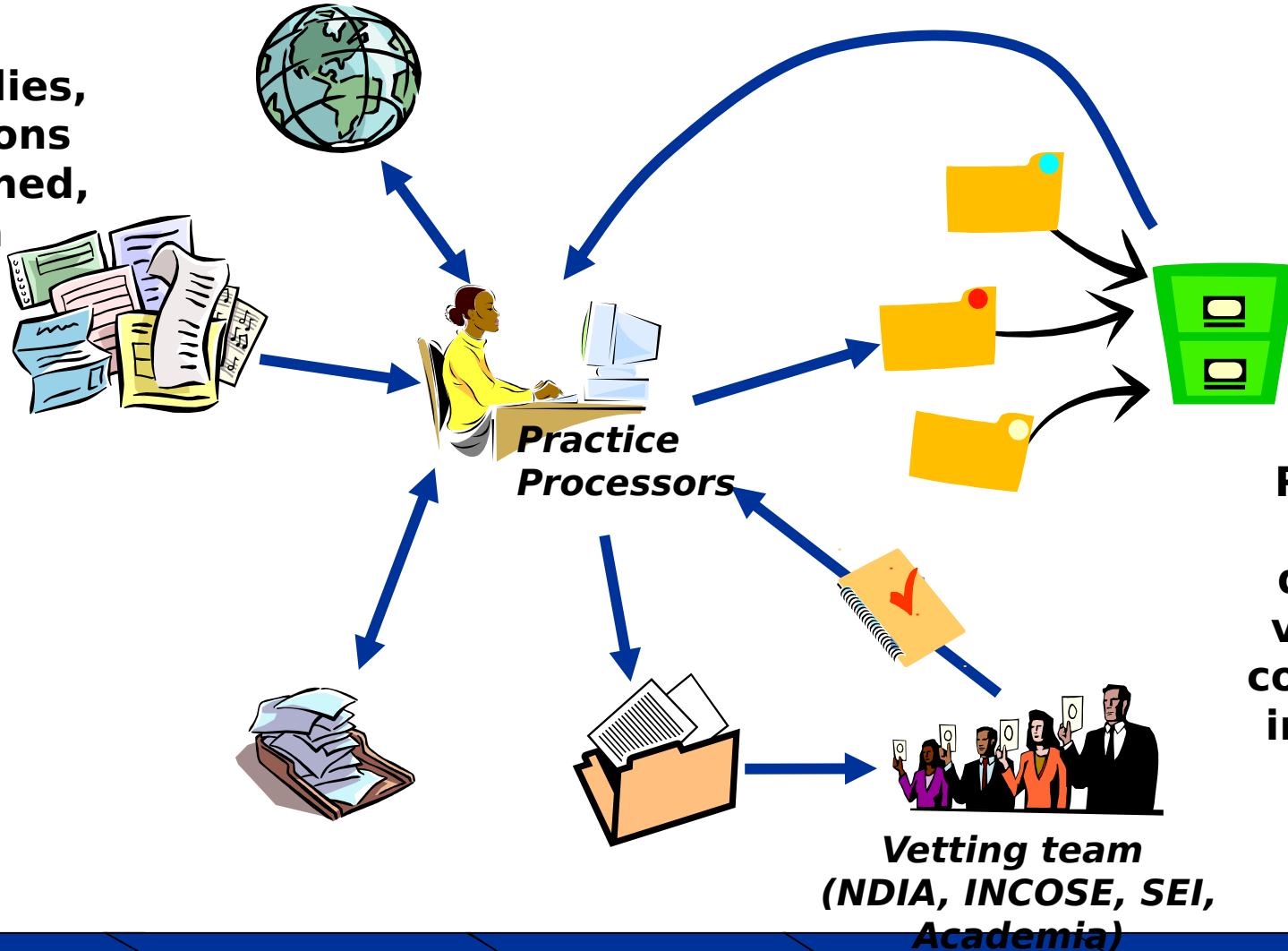
- Actors
- Process components
- IT components

September 04



# Best Practices Clearinghouse Process

**IN:**  
Studies,  
lessons  
learned,  
data



**OUT:**  
Packaged  
practice  
data with  
value and  
confidence  
indicators

Identification

Characterization

Analysis &  
Synthesis

Vetting

Packaging &  
Dissemination



# Building an Empirical Knowledge Base: *Characterization, Analysis & Synthesis*

*Models ensure consistency within the processes - support learning/improvement*

Model for valuation of the maturity <sup>1</sup> of a practice		
Attribute	Descriptive Value	Numerical Value
How long the practice has been around	Less than 1 year	1
	Less than 5 years	2
	More than 5 years	3
Magnitude of problem to which the practice has been applied (Pick "best" value)	Unclear	1
	Problem that took 40 hours (one person week worth of effort) or less per person	2
	Problem that took more than 40 hours (one person week worth of effort) or more per person	3

**Analysis & Synthesis**

**Model**

Maturity	Profile	Immature (1-3)	Adoptable (4-6)	Mature (7-9)
The technical readiness of the practice (Using the NASA Technology Readiness Scale provided in instructions)				
	Indicate the impact magnitude and latency and list the items that are the cause of that incurred cost. Include percentages if possible. E.g. training 70%, infrastructure 30%.			
Experience shows an immediate positive impact on quality, but it is not enough to eliminate inspections and formal reviews.				
Indicate the impact magnitude and latency. Tasks are completed faster.				
How much of a headache is it to deploy the practice. Include comments of what the difficulties were.				
Experience shows that pair programming requires developer to pay more.				
Additional training/expertise required (Hours of training/Adjustment period in hours)				
Experience shows that pair programming requires developer to pay more.				
Experience shows that pair programming requires developer to pay more.				

**Skeptic Trace-back**

Pair Programming	
<p><i>There is a positive impact on quality, but it is not enough to eliminate defects. Several experts in the field argue that pair programming is a continuous review process and immediately defects are detected. A small scale experiment with students has shown a reduction in defects. Lessons Learned from several long-term industrial pilot projects does help finding defects, however it doesn't detect all defects and formal inspections are required.</i></p>	
Summary	Statement
Pair Programming's potential of reducing defects: Several experts in the field argue that pair programming is a continuous review process and immediately defects are detected.	This practice provides the driver (the programmer at the keyboard) with feedback on his or her ideas and code. Together, the TDD and pair programming focus on continual quality assurance.
	With pair programming, four eyeballs are better than two, and a huge number of defects are prevented right from the start.
	When we finally made it to the first checkpoint application, we zoomed through QA with hardly a hitch. Everyone, myself included, was amazed that it didn't take weeks to debug, especially given that one of the trees had recently spent SIX WEEKS in QA hell. It was obvious that the pairs had dramatically reduced the defect rate.
Pair programming's shoulder-to-shoulder technique serves as a continual development, leading to most efficient defect removal rates.	

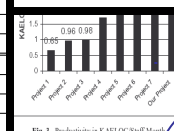
**Summary**

**Characterization**

**Model**

**Sources**

**Collect source data**





# Useful Information

- **Project or context-specific practice selection or suggestion support**
- **Practice information at various levels of detail**
- **Experience reports, lessons learned, expert knowledge, costs, benefits and**

## Experience data

### Case Study #24

#### Best practice

Formal inspections  
"Report on the Loss of the Mars Climate Orbiter Mission", [J PL D-18441, J PL Special Review Board, Nov. 11, 1999]

#### Source

#### Theory/Expectation

The use of software inspections will ensure a high level of system quality

#### Lesson Learned

Attention must be paid that inspections are practiced correctly, with appropriate formality, to ensure defect removal benefits.

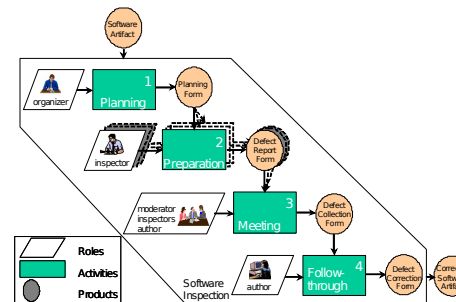
#### What happened

Breakdown in the use of inspections:

- Contrary to typical practice, there was not a requirement for a navigation (end-user) representative to be present at any of the walkthroughs or the acceptance test.
- The Sm forces software program was misclassified as non-mission critical, which reduced the number of reviews done on the software compared to mission critical software.

## Implementation data/ guidance

### Inspection process overview



### Phase 1: Planning

Inspectors should have vested interests in work product

Inspectors should invest no more than 15% of their time in inspections (don't overwork good inspectors!)

...

### Phase 2: Preparation

Inspectors should spend at least as much time in preparing as is required for the inspection meeting.

Provide adequate lead time for inspectors to perform preparation (3 - 5 work days)

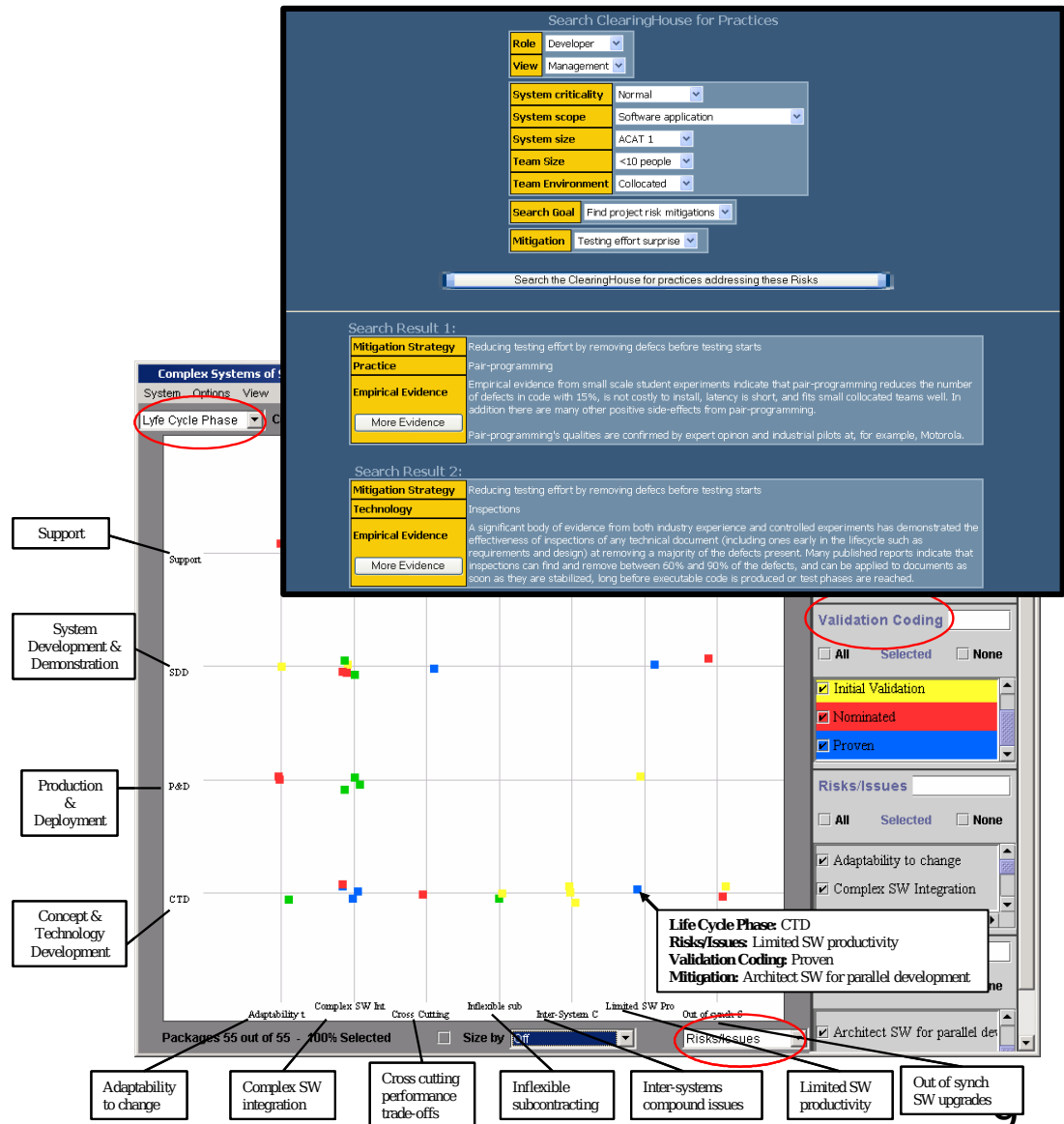




# Intelligent Front-end

- Focused on relevant information
- Presents information from user perspective
- Data accessible by multiple, tailorable views
- Utilizes push and pull methods
- Provides wizards, search tools, indexes, categories
- Relies on evolving ontology and cutting edge expert system technology

September 04





# BPCH Status

- **Designated as the single DoD source for validated acquisition practices**
  - Added to the Acquisition Domain IT portfolio
  - DAU sees major role within their integrated knowledge management and educational infrastructure
- **Development team in place**
  - Fraunhofer Center, NGIT developers
  - DAU providing strong support to ensure integration
  - NDIA/INCOSE task group formed
- **Key Events**
  - **October 25-28 - NDIA SE Conference (Dallas)**
    - *Presentation and concept video*
    - *Questionnaire/feedback instrument*
  - **November 15 - PEO/SYSCON (Ft. Belvoir)**
    - *Included in Mr. Wynne's/Dr. Lamartin's talk*
    - *One pager for conference notebook*
  - **April 18-21, 2005 - System and Software Technology Conference (Salt Lake City)**
    - *Presentation and BPCH Prototype*
      - Prototype reviews in January and March '05